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PTO/SB/05 (2/98)

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

210 478C10

First Inventor or Application Identifier

Tongtong Wang

Title

COMPOSITIONS AND METHODS FOR THE THERAPY  
AND DIAGNOSIS OF LUNG CANCER

Express Mail Label No.

EL6125232081US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 202311. ☐ General Authorization Form & Fee Transmittal  
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] **187**  
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☐ Drawing(s) (35 USC 113) [Total Sheets] 4. Oath or Declaration [Total Pages] a. ☐ Newly executed (original or copy)b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)5. ☐ Incorporation By Reference (useable if box 4b is  
checked) The entire disclosure of the prior application,  
from which a copy of the oath or declaration is supplied  
under Box 4b, is considered to be part of the disclosure of  
the accompanying application and is hereby incorporated  
by reference therein.6. ☐ Microfiche Computer Program (Appendix)  
7. Nucleotide and Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☒ Computer-Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure  
Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard14. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-In-Part (CIP) of prior Application No.: 09/614,124Prior application information: Examiner not assignedGroup / Art Unit not assigned☐ Claims the benefit of Provisional Application No.                     

## CORRESPONDENCE ADDRESS

Jane E. R. Potter  
Seed Intellectual Property Law Group PLLC  
701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900 Fax: (206) 682-6031

Respectfully submitted,

TYPED or PRINTED NAME Jane E. R. PotterSIGNATURE Jane E. R. Potter

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REGISTRATION NO. 33,332Date August 29, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants : Tongtong Wang, Medina, WA; Chaitanya S. Bangur, Seattle, WA;  
Michael J. Lodes, Seattle, WA; Gary R. Fanger, Mill Creek, WA;  
Thomas S. Vedvick, Federal Way, WA; Darrick Carter, Seattle, WA;  
Marc W. Retter, Carnation, WA; Jane Mannion, Edmonds, WA;  
Liquan Fan, Bellevue, WA

Filed : August 29, 2000

For : COMPOSITIONS AND METHODS FOR THE THERAPY AND  
DIAGNOSIS OF LUNG CANCER

Docket No. : 210121.478C10

Date : August 29, 2000

Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Assistant Commissioner for Patents:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL615232081US, on August 29, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

*Steven M. Plante*

Steve Plante/Jeanette West/Susan Johnson

JEP:sds

Enclosures:

Postcard  
Form PTO/SB/05  
Specification, Claims, Abstract (187 pages)  
Sequence Listing (518 pages)  
Declaration for Sequence Listing  
Diskette for Sequence Listing

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tongtong Wang et al.  
Filed : August 29, 2000  
For : COMPOSITIONS AND METHODS FOR <sup>THE</sup> THERAPY AND  
DIAGNOSIS OF LUNG CANCER

Docket No. : 210121.478C10

Date : August 29, 2000

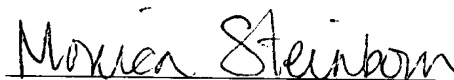
Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

DECLARATION

Sir:

I, Monica Steinborn, in accordance with 37 C.F.R. § 1.821(f) do hereby declare that, to the best of my knowledge, the content of the paper entitled "Sequence Listing" and the computer readable copy contained within the floppy disk are the same.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated this 29<sup>th</sup> day of August, 2000.

Monica Steinborn  
Biotechnology Paralegal

701 Fifth Avenue, Suite 6300  
Seattle, WA 98104-7092  
(206) 622-4900  
FAX (206) 682-6031

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